Indep. Claims

4. OTHER FEE(\$)

- 3 or HP =

3. APPLICATION SIZE FEE

Extra Claims

Non-English Specification \$130 fee (no small entity discount)

HP = highest number of independent claims paid for, if greater than 3.

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sheets or fraction thereof. See 35 U.S.C. 41(a)(1)(G) and 37 CFR 1.16(s).

Total Sheets

Extra Sheets

Number of each additional 50 or fraction thereof

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PTO/SB/17 (07-07) Approved for use through 08/30/2010, OMS 0851-0092

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U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE Under the Paparwork Reduction Act of 1995 no coroons are required to respond to a collection of information unless it displays a valid OMB control number Effective on 12/08/2004. Complete if Known pursuant to the Consolidated Appropriations Act, 2005 (H.R. 4818). **Application Number** 10/630,282 FEE TRANSMITTA Filing Date July 30, 2003 For FY 2007 First Named Inventor Richard Martin Jacobson Examiner Name Sabiha Naim Qazi Applicant claims small entity status. See 37 CFR 1.27 Art Unit 1616 TOTAL AMOUNT OF PAYMENT (\$) 500 Attorney Docket No. A01395 METHOD OF PAYMENT (check all that apply) Check Credit Card Money Order Other (please identify): None Deposit Account Deposit Account Number: 18-1850 For the above-Identified deposit account, the Director is hereby authorized to: (check all that apply) ✓ Charge fee(a) indicated below Charge fee(s) indicated below, except for the filling fee Charge any additional fee(s) or underpayments of fee(s) Credit any overpayments under 37 CFR 1.16 and 1.17 WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card Information and authorization on PTO-2038. **FEE CALCULATION** 1. BASIC FILING, SEARCH, AND EXAMINATION FEES **FILING FEES** SEARCH FEES **EXAMINATION FEES Small Entity** Small Entity **Small Entity** Application Type Fee (\$) Fees Paid (\$) Eee (\$) Foo (\$) Foe (\$) Eqq.(\$) Utility 300 150 500 200 250 100 Design 200 100 100 50 130 65 Plant 200 100 300 160 150 80 Reissue 300 500 250 150 600 300 **Provisional** 200 100 0 0 0 0 2. EXCESS CLAIM FEES Small Entity Fee (\$) **Eco Description** Fee (S) Each claim over 20 (including Reissues) 50 25 Each independent claim over 3 (including Reissues) 200 100 Multiple dependent claims 360 180 Total Claims Extra Claims Feo (\$) Foe Paid (\$) Multiple Dependent Claims - 20 or HP = Fee (\$) Fee Paid (\$) MP = highest number of total claims paid for, if greater than 20.

Other (e.g., late filing surcharge): Appeal Erief				
Sugmitted BY Signature	Thomas A	. Rogenson Registration No. 38,802	Telephone 215-619-1569	
Name (Print/Type)	Thomas D. Rogerson	V	Date August 24, 2007	

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Firm Name

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Rohm and Haas Company

Thomas D. Rogerson

August 24, 2007

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U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE
Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number. Application Number 10/630,282 TRANSMITTAL Filing Date July 30, 2003 First Named Inventor **FORM** Richard Martin Jacobson Art Unit 1616 Examiner Name Sabiha Naim Qazi (to be used for all correspondence after initial filling) **Attorney Docket Number** A01395 Total Number of Pages in This Submission **ENCLOSURES** (Check all that apply) After Allowance Communication to TC **|** Fee Transmittal Form Drawing(s) Appeal Communication to Board Licensing-related Papers Fee Attached of Appeals and Interferences Appeal Communication to TC Petition Amendment/Reply (Appeal Notice, Brief, Repty Brief) Petition to Convert to a Proprietary Information After Final Provisional Application Power of Attorney, Revocation Affidavits/declaration(s) Change of Correspondence Address Status Letter Other Enclosure(s) (please identify Terminal Disclaimer **Extension of Time Request** below): Express Abandonment Request Request for Refund CD, Number of CD(s) Information Disclosure Statement Landscape Table on CD Certified Copy of Priority Remarks Document(s) Reply to Missing Parts/ Incomplete Application Reply to Missing Parts under 37 CFR 1.52 or 1.53

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Signature	Thomas D. Roger	rem		
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GROUP ART UNIT: 1616
APPEAL NO.

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE BEFORE THE BOARD OF APPEALS AND INTERFERENCES

APPEAL BRIEF

In re the Application of Richard Martin Jacobson et al.

Filed: July 30, 2003

Serial No. 10/630,282

For

STABLE ETHYLENE INHIBITING COMPOUNDS AND METHODS FOR THEIR PREPARATION

Thomas D. Rogerson Attorney for Appellants

Sabiha Naim Qazi Examiner

Enclosed: Transmittal Form Fee Transmittal Form

A01395

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Mail Stop Appeal Brief - Patents

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

DN A01395

In re application of:

Richard Martin Jacobson, et.al.

Serial No.:

10/630,282

: Group Art Unit:

1616

Filed:

07/30/2003

: Examiner:

S. Qazi

For:

STABLE ETHYLENE INHIBITING COMPOUNDS AND METHODS

FOR THEIR PREPARATION

MAIL STOP APPEAL BRIEF - PATENTS Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

APPEAL BRIEF

This is an appeal from the Final Rejection dated February 14, 2007 finally rejecting claims 2 to 4. Claims 2 to 4 are being appealed, claims 1 and 5 to 8 having been withdrawn from consideration but subject to rejoinder. The appealed claims are set out in Appendix J. Appellants filed a Notice of Appeal pursuant to 37 C.F.R. § 1.191 on June 25, 2007.

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(C) Real Party In Interest

The owner of the present application and the invention contained therein is ROHM AND HAAS COMPANY.

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(D) Related Appeals, Interferences or Judicial Proceedings

No appeals, interferences or judicial proceedings are known to Appellants, the Appellants' legal representative, or the assignee which will directly affect or be directly affected by or have a bearing on the Board's decision in the pending appeal.

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(E) Status Of Claims

The status of the claims is as follows:

Claims pending: 2-4

Allowed claims: none

Claims objected to: none

Claims canceled: none

Claims rejected: 2-4

Claims on appeal: 2 - 4

Claims withdrawn from consideration by the Examiner: 1 and 5 - 8.

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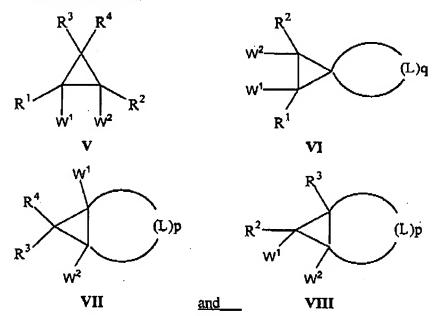
(F) Status Of Amendments

Appellants filed an Amendment after Final Rejection on April 16, 2007. The Advisory Action mailed on May 23, 2007 did not indicate whether or not the Amendment would be entered for purposes of appeal. However, as the proposed amendment was strictly for clarification and at the suggestion of the Examiner, the claims presented and argued herein include the amendments made in the Amendment after Final Rejection.

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(G) Summary of Claimed Subject Matter

Claim 2. The present invention provides a cyclopropane compound selected from the group consisting of: [Page 3, line 9 to Page 4, line 1]



wherein:

a) each R¹, R², R³, and R⁴ is independently a group of the formula: [Page 4 line 8 to Page 4 line 13]

$$-(L)_{n}-Z$$

- i) p is an integer from 3 to 10;q is an integer from 4 to 11;n is an integer from 0 to 12;
- ii) each L is independently selected from a member of the group D, E, or J[Page 4, line 14 to Page 5, line 15]D is of the formula:

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E is of the formula:

J is of the formula:

$$N=N$$
 $N=N$
 $N=N$
 $N=C=N$
 $N=C=N$
 $N=C=N$

A) each X and Y is independently a group of the formula:

$$-(L)_m-Z$$
;

and

- B) m is an integer from 0 to 8; and
- C) no more than two E groups are adjacent to each other and no J groups are adjacent to each other;

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- iii) each Z is independently selected from: [Page 5, line 16 to Page 6, line 13]
 - A) hydrogen, halo, cyano, nitro, nitroso, azido, chlorate, bromate, iodate, isocyanato, isocyanido, isothiocyanato, pentafluorothio, or
 - B) a group G, wherein G is an unsubstituted or substituted; unsaturated, partially saturated, or saturated; monocyclic, bicyclic, tricyclic, or fused; carbocyclic or heterocyclic ring system wherein;
 - 1) when the ring system contains a 3 or 4 membered heterocyclic ring, the heterocyclic ring contains 1 heteroatom;
 - 2) when the ring system contains a 5, or more, membered heterocyclic ring or a polycyclic heterocyclic ring, the heterocyclic or polycyclic heterocyclic ring contains from 1 to 4 heteroatoms;
 - 3) each heteroatom is independently selected from N, O, and S;
 - 4) the number of substituents is from 0 to 5 and each substituent is independently selected from X;
- b) W¹ and W² are selected from F, Cl, Br, I, alkoxy, acyloxy, alkoxycarbonyloxy, aminocarbonyloxy, alkylaminocarbonyloxy, dialkylaminocarbonyloxy, alkylsulfonyloxy, and arylsulfonyloxy; [Page 6, lines 14-16]
- c) provided that at least one of W1 and W2 is I; [Page 6, line 17] and
- d) the total number of non-hydrogen atoms is 50 or less. [Page 6, lines 18-19] Such compounds are useful as stable precursors used to generate cyclopropenes which, in turn, are useful to inhibit the ethylene response in plants. [Page 2, lines 10-16, Page 3, lines 1-3 and 6-8]

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(H) Grounds of Rejection to be Reviewed on Appeal

Claims 2 - 4 stand rejected on the ground of obviousness-type double patenting over US 7,041,625 ("'625") and US 6,770,600 ("'600") in view of Morrison & Boyd, Chapter 6, pp 177-188 ("Morrison & Boyd"); under 35 U.S.C. § 103(a) over US 3,265,745 ("Seyferth"); and under 35 U.S.C. § 103(a) over J. Chem. Soc. Perkin Trans 1, 1986, pp1845-1853 ("Baird").

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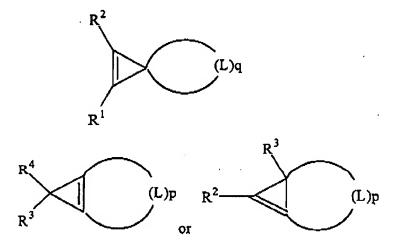
(I) Argument

Regarding Double Patenting:

Claims 2-4 are rejected on the ground of obviousness-type double patenting as being unpatentable over claims 1 and 2 of US 7,041,625 ("'625") and claims 7-10 of US 6,770,600 ("'600") in view of Morrison & Boyd, Chapter 6, pp 177-188 ("Morrison & Boyd").

a. The Appealed Claims 2-4 are Patentably Distinct From the Claims of '600 and '625.

The cited patents, '625 and '600, each disclose the use of cyclopropene compounds to inhibit the ethylene response in plants. '600 discloses a system for the delivery of such cyclopropene compounds to plants. The invention disclosed in '600 does not utilize in any way the compounds of appealed Claims 2-4. As such, the invention disclosed in '600 can easily be practiced in light of Appellants' invention. Appellants' claims would not inhibit one from practicing the invention disclosed in '600 in any way. Conversely, the claims of '600 would not prevent one from practicing the invention of appealed claims 2-4. As a result, as far as '600 goes, there would be no unjustified or improper extension of the right to exclude should Appellants' claims be granted. Therefore, the purpose of a double patenting rejection does not apply as regards '600. '625 relates to the use of compounds of the formulae:



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to inhibit the ethylene response in plants. Compounds of these formulae would be produced by Appellants' method of now withdrawn claim 5 by using the compounds of appealed claims 2-4 as precursor compounds. However, as provided in '625, there are many other methods which can be used to prepare the compounds of the above formulae which do not involve the compounds of appealed claims 2-4. As a result, one could still practice the invention of '625 if Appellants' claims were granted. Conversely, the claims of '625 would not prevent one from practicing the invention of appealed claims 2-4. So again, there would be no unjustified or improper extension of the right to exclude should Appellants' claims be granted.

Appellants' invention provides precursor compounds which can be used in a method to generate cyclopropene compounds which subsequently can be utilized in the inventions of '600 and '625, but would in no way prevent the use of such cyclopropene compounds themselves.

b. The Prior Art Fails to Provide a Motivation to Combine the References so as to Produce the Claimed Invention

Morrison & Boyd merely discloses general reactions of double bonds, including a large variety of reactions that can be used to convert double bonds to saturated bonds. However, there is no teaching or suggestion in Morrison & Boyd that such a process can be used as a method to stabilize a cyclopropene double bond so that the cyclopropene can later be regenerated. The potential energy diagram in Fig 6.3 of Morrison & Boyd cited by the Examiner to support the fact that a saturated bond is more stable than an unsaturated bond only discloses the potential energy relationship for hydrogenation of linear double bonds, not those found in highly strained cyclic compounds such as cyclopropenes. Appellants' invention is compounds which can serve as cyclopropene precursor compounds. Nothing in Morrison & Boyd would lead one skilled in the art to take the cyclopropene compounds disclosed in '625 and '600 and convert them to their saturated cyclopropane analogs with the expectation that the cyclopropene compound would then be subsequently regenerated from the cyclopropane analog. This, in effect,

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would add two additional steps to utilize the inventions disclosed in '625 and '600. For these reasons, it would not have been obvious to one skilled in the art to perform such reactions.

Regarding rejection 1 under 35 U.S.C. § 103(a) over US 3,265,745 ("Seyferth"):

Claims 2-4 are rejected under 35 USC §103(a) as being unpatentable over Seyferth et al (US Patent 3,265,745) in that Seyferth discloses dihalocyclopropanes.

The Prior Art Fails to Provide a Motivation to to Produce the Claimed Invention

The disclosure of Seyferth would not motivate one skilled in the art to prepare Appellants' cyclopropane compounds. Contrary to the statement in the Office Action. Seyferth discloses gem-dihalocyclopropanes, not 1,2-dihalocyclopropanes. The Examiner has cited Seyferth, col. 1, lines 23-27, as teaching such 1,2-dihalo compounds. Appellants respectfully submit that the Examiner is missreading the reference. The Examiner states that col.1, lines 23-27 teaches: "1,1-dihalo cyclopropanes means either 1,1 dihalo cyclopropane itself or compounds having substituents on either of the other two carbon atoms in the cyclopropene ring" and that this is a teaching of 1,2dihalocyclopropanes. In fact, Seyferth states: "By the term 1,1 dihalocyclopropanes it is meant either 1,1-dihalocyclpropane itself or compounds having sustituents on either one or both of the other two carbon atoms in the cyclopropane ring, i.e., the carbon atoms numbered 2 and 3 in the cyclopropane ring." It it Appellants' position that this statement defines 1,1-dihalocyclopropane or a 1,1-dihalocyclopropane with additional substituents on one or more of the 2 and 3 positions, not a 1,2-dihalocyclopropane. Seyferth clearly teaches and, in fact requires, cyclopropanes that must be 1,1-dihalo substituted, i.e., compounds in which both of the key halo substituents are on the same carbon. These are significantly different from the 1,2-substituted cyclopropanes of Appellants, i.e., compounds in which the key halo substituents are on adjacent carbons. There is no teaching or suggestion in Seyferth that would motivate one skilled in the art to prepare 1,2-disubstituted cyclopropanes. The motivation of Seyferth was to provide a novel route to 1,1-dihalocyclopropanes (see col. 1, lines 10-12). Seyferth's method always results in the formation of a gem-dihalo -substituted three membered ring compound because the

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method generates a dihalocarbene adduct which adds to a double bond (see col. 2, lines 10-12 and col 3, lines 23-24 and 35-37). Appellants' compounds, on the other hand, require a 1,2-substituted cyclopropane.

Furthermore, there is no suggestion that such compounds are useful precursors for the production of cyclopropenes with ethylene inhibition activity. The gem-dihalosubstituted compounds of Seyferth are used for entirely different pruposes (see col. 5, line 59 to col. 6, line 2) and, in fact, cannot be converted to the cyclopropenes of Appellants' Structures 1-IV using Appellants' processes (see withdrawn claim 5). As a result, one skilled in the art and familiar with Seyferth would not be motivated to prepare Appellants' compounds.

Regarding rejection 2 under 35 U.S.C. § 103(a) over J. Chem. Soc. Perkin Trans I, 1986, pp 1845-1853 ("Baird")

Claims 2-4 are rejected under 35 USC 103(a) as being unpatentable over Baird et al. J. Chem. Soc. Perkin Trans 1, 1986, pp 1845-1853 ("Baird") in that Baird teaches addition of substitutents to cyclopropenes to prepare cyclopropenes.

The Prior Art Fails to Provide a Motivation to to Produce the Claimed Invention

Baird teaches the preparation of 1-halocyclopropenes by treatment of 1,1,2-trihalocyclopropanes with methyllithium. Throughout the reference, Baird teaches that "halogen = bromine, chlorine". There is no disclosure, teaching, or suggestion that the halogen can be iodo. All of Appellants' claimed compounds require at least one iodo substituent (as substituents labeled W1 and/or W2). Baird teaches the preparation of 1-halogenocyclopropenes from 1,1,2-trihalogencyclopropanes (halogen = bromine, chlorine) which are then lithiated by lithium-halogen exchange to give 1-lithiocyclopropenes which are then trapped by electrophiles (See the abstract.) Baird's motivation was: "... part of a broad examination of the chemistry of tri- and tetra-halogenated cyclopropanes...". (See p. 1845, second paragraph.) Thus, the motivation of Baird, to form a 1-halogenocyclopropene, where the halogen is bromine or chlorine, to be subsequently converted to a 1-lithiocyclopropene in a study of reaction chemistry, is significantly different from that of Appellants. Appellants' motivation is to provide

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stable precursors of cyclopropenes by converting the cyclopropenes to iodo-substituted cyclopropanes, which can subsequently be converted back to the cyclopropenes (see the Specification page 2, lines 17-21) using the method of withdrawn claim 5. The cyclopropene is then subsequently used to antagonize the ethylene response in a plant using the method of withdrawn claim 8. Appellants respectfully submit that the prior art could not have suggested to one skilled in the art stabilization of unstable cyclopropene molecules by converting them to their more stable cyclopropane analogs. Appellants claims 2 - 4 are claims to such stable cyclopropane analogs.

CONCLUSION

Based on the foregoing, Appellants respectfully submit that the pending claims are currently in condition for allowance. Appellants respectfully request the Board to pass the pending claims to allowance.

Enclosed herewith, Appellants have filed a Certificate of Mailing to establish the timely filing of this Appeal Brief.

The Commissioner is hereby authorized to charge any additional fee which may be required, or to credit any overpayments to Deposit Account 18-1850.

Respectfully submitted.

Thomas A. Rogerson

Thomas D. Rogerson Attorney for Applicants

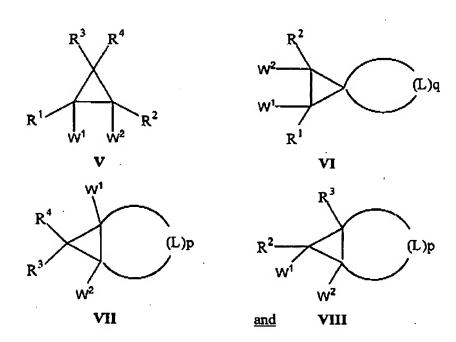
Registration No. 38,602 Telephone: 215-619-1569

Patent Department, 7th Floor Rohm and Haas Company 100 Independence Mall West Philadelphia, PA 19106-2399 Date: August 24, 2007

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(J) Claims Appendix

2. (Currently Amended) A cyclopropane compound comprising a structure selected from the group consisting of:



wherein:

a) each R¹, R², R³, and R⁴ is independently a group of the formula:

$$-(L)_n-Z$$

- i) p is an integer from 3 to 10;q is an integer from 4 to 11;n is an integer from 0 to 12;
- ii) each L is independently selected from a member of the group D, E, or J D is of the formula:

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E is of the formula:

J is of the formula:

$$N=N$$

$$N=N$$

$$N=N$$

$$N=C=N$$

$$X$$

$$C=C=C$$

$$x$$

$$x$$

$$x$$

$$x$$

$$x$$

$$x$$

A) each X and Y is independently a group of the formula:

$$-(L)_{m}-Z;$$

and

- B) m is an integer from 0 to 8; and
- C) no more than two E groups are adjacent to each other and no J groups are adjacent to each other;

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- iii) each Z is independently selected from:
 - A) hydrogen, halo, cyano, nitro, nitroso, azido, chlorate, bromate, iodate, isocyanato, isocyanido, isothiocyanato, pentafluorothio, or
 - B) a group G, wherein G is an unsubstituted or substituted; unsaturated, partially saturated, or saturated; monocyclic, bicyclic, tricyclic, or fused; carbocyclic or heterocyclic ring system wherein;
 - 1) when the ring system contains a 3 or 4 membered heterocyclic ring, the heterocyclic ring contains 1 heteroatom;
 - 2) when the ring system contains a 5, or more, membered heterocyclic ring or a polycyclic heterocyclic ring, the heterocyclic or polycyclic heterocyclic ring contains from 1 to 4 heteroatoms;
 - 3) each heteroatom is independently selected from N, O, and S;
 - 4) the number of substituents is from 0 to 5 and each substituent is independently selected from X;
- b) W¹ and W² are selected from F, Cl, Br, I, alkoxy, acyloxy, alkoxycarbonyloxy, aminocarbonyloxy, alkylaminocarbonyloxy, dialkylaminocarbonyloxy, alkylsulfonyloxy, and arylsulfonyloxy;
- c) provided that at least one of W1 and W2 is I; and
- d) the total number of non-hydrogen atoms is 50 or less.
- 3. (Original) The compound of claim 2 wherein each of W1 and W2 are I.
- 4. (Original) The compound 1,2-diiodo-1-methylcyclopropane.

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(K) Evidence Appendix

No evidence was submitted during prosecution.

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(L) Related Proceedings Appendix

There are no related proceedings.